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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/566,873	07/03/2006	Rachel Butler	T3140(C)	9573
201 7590 07/01/2009 UNILEVER PATENT GROUP 800 SYLVAN AVENUE AG West S. Wing ENGLEWOOD CLIFFS, NJ 07632-3100				
EXAMINER NEGRELLI, KARA B				
ART UNIT		PAPER NUMBER		
1796				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/566,873

**Applicant(s)**

BUTLER ET AL.

**Examiner**

KARA NEGRELLI

**Art Unit**

1796

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 03 July 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SF/ICE)  
Paper No(s)/Mail Date 04/07/2006
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**POROUS MATERIAL AND METHOD OF PRODUCTION THEREOF**

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-15 and 17-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Ko et al. (US 2003/0134918).

3. Ko et al. teach compositions and methods of making absorbent polymeric foams comprising replacing the oil in an oil-in-water emulsion with supercritical fluids, such as CO<sub>2</sub>, that are non-toxic, environmentally friendly, more soluble, and cost effective (paragraph [0007]). After polymerization, the CO<sub>2</sub> is easily extracted from the polymer as a gas (paragraph [0008]). The supercritical CO<sub>2</sub> causes swelling of the polymeric matrix, allowing diffusion of surface active agents or other active components into the matrix in the swollen state, wherein upon depressurization, the matrix deswells and entraps the active components to modify properties of the foam (paragraph [0008]). By controlling the nature of the emulsion, and the polymerization process, nanopores can be created (paragraph [0008]). Ko et al. further teach that by depressurization, the CO<sub>2</sub> is vented away, and all or a portion of the oil phase is removed (paragraph [0044]). Solvents, particularly those used in the liquid form, can be removed by freeze-drying (paragraph [0032]). Operations such as vacuum removal, removal driven by air

pressure, pressing the foam, or other methods can be used to remove the oil phase, the supercritical fluid, remaining water, emulsifier, initiators, or any other unpolymerized material from the foam (i.e. there would be no solvent residue remaining in the material) (paragraph [0044]).

4. Ko et al. further teach the use of surfactants such as polyoxyethylene sorbitan monolaurate and polyoxyethylene sorbitan monopalmitate (Tween 40) (paragraph [0021]). Further additives can also be used in the composition, such as solid metal nanoparticles (pertaining to instant claims 2-4 and 19-20), such as hydrophilic titanium oxide, silica, and the like (paragraph [0048]), as well as copper hydroxide or zinc hydroxide (organic reagents) as antimicrobial and/or odor control agents (paragraph [0065]).

5. Examples of the monomers to be polymerized for the composition of Ko et al. include polycarboxylic acid such as acrylic acid, vinyl lactams, aromatic vinyl sulfonic acids, carboxylic acid salt-containing monomers, N-hydroxyalkyl acrylamides, methacrylic sulfonic acids, and acrylic sulfonic acids (paragraph [0053]). The monomers are to be dispersed within the water phase of the invention (paragraph [0053]). The water soluble materials are free from a substantial degree of cross-linking, as cross-linking tends to render a material water insoluble (paragraph [0030]).

6. The composition of Ko et al. may further comprise cellulosic fibers (paragraph [0049]).

7. The foam produced by Ko et al. may be molded into any desired shape (paragraph [0068]).

8. Ko et al. teach that surfactants used for forming the emulsions of CO<sub>2</sub> in water (paragraph [0056], pertaining to instant claims 28-29).
9. Ko et al. further teach that the polymeric foam is used to produce absorbent articles (paragraph [0063]), including bandages or wound dressings (paragraph [0010]).

***Claim Rejections - 35 USC § 103***

10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. Claims 12, 16, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ko et al. (US 2003/0134918).
12. As to claim 12, Ko et al. do not expressly teach reducing the temperature of the emulsion to a range of from -5°C to -30°C. However, since Ko et al. teach freeze-drying the composition to remove solvent in a process which involves first freezing the substance and then subliming it (paragraph [0032]), one of ordinary skill in the art would recognize that freezing includes exposing the substance to temperatures which fall within instantly the claimed range.
13. As to claim 16, Ko et al. teach that the water phase of the invention comprises from about 20% to about 80% monomer and from about 0.015 to about 5% emulsifier (paragraph [0055]). However, Butler et al. (2001) (*Advanced Materials* 2001, 13, 1459-

1463) teaches CO<sub>2</sub>-in-water emulsions comprising 70% to 80% CO<sub>2</sub>, 10% w/v poly (vinyl alcohol) relative to water, and 1 to 10% surfactant w/v based on water (page 1460, column 2, paragraph 2, lines 1-4 and page 1461, paragraph 2, lines 5-8). It would have been obvious for one of ordinary skill in the art to use the concentrations of each component as specified by Butler et al. (*Advanced Materials* 2001, 13, 1459-1463) in the invention of Ko et al. because using the concentrations results in a system in which free-radical polymerization occurs before the emulsion becomes destabilized (Butler et al., *Advanced Materials* 2001, 13, page 1460, column 2, paragraph 2, lines 9-12). Addition of polyvinyl alcohol further counteracts destabilization when added to the aqueous phase of the emulsion before polymerization, resulting in an emulsion sufficiently stable for producing open-cell porous materials (Butler et al., *Advanced Materials* 2001, 13, page 1461, column 1, paragraph 2, lines 5-12).

14. As to claim 23, the foams produced according to the invention of Ko et al. have pore volumes of from 6 cc/g to 200 cc/g. The bulk density would therefore be 1/200 g/cc to 1/6 g/cc, or from 0.005 to 0.16 g/cc (paragraph [0028]). The polymeric foam further has an average cell size of 50 microns or less (paragraph [0038]). These ranges overlap the values given in instant claim 23.

15. It is well settled that where the prior art describes the components of a claimed compound or compositions in concentrations within or overlapping the claimed concentrations a prima facie case of obviousness is established. See *In re Harris*, 409 F.3d 1339, 1343, 74 USPQ2d 1951, 1953 (Fed. Cir 2005); *In re Peterson*, 315 F.3d 1325, 1329, 65 USPQ 2d 1379, 1382 (Fed. Cir. 1997); *In re Woodruff*, 919 F.2d 1575,

1578 16 USPQ2d 1934, 1936-37 (CCPA 1990); *In re Malagari*, 499 F.2d 1297, 1303, 182 USPQ 549, 553 (CCPA 1974).

16. Claims 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ko et al. (US 2003/0134918) and further in view of Rehmer et al. (US 4,879,361).

17. Ko et al. teach the composition as applied to claim 1 but do not expressly teach that the porous material is in the form of porous particles or beads. However, Ko et al. teach that the material can be molded into any desired shape (paragraph [0068]). Rehmer et al. teach polyacrylic acid in bead form (column 16, lines 42). Because polyacrylic acid can be shaped into bead bead form (as taught in Rehmer et al.), it would have been obvious to one of ordinary skill in the art that the composition of Ko et al., which can be formed into any desired shape (and which can comprise polyacrylic acid) that the "any desired shape" includes porous beads or particles.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KARA NEGRELLI whose telephone number is (571)270-7338. The examiner can normally be reached on Monday through Friday 8:00 am EST to 5:00 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Randy Gulakowski can be reached on (571)272-1302. The fax phone

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/KARA NEGRELLI/  
Examiner, Art Unit 1796

/Randy Gulakowski/  
Supervisory Patent Examiner, Art Unit 1796